# GENERALIZED FC-GROUPS IN FINITARY GROUPS

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## Terminology and notations

- The terminology is standard and follows D.J.Robinson, "Finiteness conditions and generalized soluble groups", vol. I and vol.II, Springer Verlag, 1972, Berlin.
- given a group G and an element  $x \in G$ ,  $x^G$  denotes the subgroup of G generated by all conjugates of x in G;
- a polycyclic group is a soluble group satisfying the maximal condition on its subgroups. A polycyclicby-finite group is a group having a polycyclic normal subgroup of finite index.
- a Chernikov group is a soluble group satisfying the minimal condition on its subgroups.

- a group G is called FC-group, or group with finite conjugacy classes, if  $G/C_G(x^G)$  is a finite group for each  $x \in G$ . These groups have been introduced independently by R.Baer and B.H.Neumann in the 1950s;
- a group G is called PC-group, or group with polycyclicby-finite conjugacy classes, if  $G/C_G(x^G)$  is a polycyclicby-finite group for each  $x \in G$ . These groups generalize FC-groups. They have been introduced by S.Franciosi, F.de Giovanni and M.Tomkinson in 1990;
- a group G is called CC-group, or group with polycyclicby-finite conjugacy classes, if  $G/C_G(x^G)$  is a Chernikov group for each  $x \in G$ . These groups generalize FCgroups. They have been introduced by Ya.D.Polovicki in 1962.

#### 1. Generalized *FC*-groups

- Let  $\mathfrak{X}$  be a class of groups which is closed with respect to forming homomorphic images and sub-direct products of its members, that is,
  - if  $G \in \mathfrak{X}$  and  $N \triangleleft G$ , then  $G/N \in \mathfrak{X}$ ;
  - if  $N_1, N_2 \triangleleft G$  with  $N_1 \cap N_2 = 1$  and  $G/N_i \in \mathfrak{X}$  for i = 1, 2, then  $G \in \mathfrak{X}$ .
- From now, we will refer always to class of groups as X. Many authors call *formations* these classes of groups. W. Gaschütz seems to be the first who introduced them. There is a large branch in Finite Soluble Groups, investigating formations (see [[1], [3]]).
- An element x of a group G is said to be XC-central, or an XC-element, if  $G/C_G(x^G) \in \mathfrak{X}$ .
- If  $\mathfrak{X} = \mathfrak{F}$  is the class of finite groups, then we have the notion of *FC*-element. Of course, an *FC*-group is a group in which each element is an *FC*-element.
- If  $\mathfrak{X} = \mathfrak{PF}$  is the class of polycyclic-by-finite groups, then we have the notion of *PC*-element.
- If  $\mathfrak{X} = \mathfrak{C}$  is the class of Chernikov groups, then we have the notion of *CC*-element.

**Lemma 1** (R.Baer, 1950, [[20], §4]; R.Maier, 2002 [11]). The set XC(G) of all XC-elements of a group G is a characteristic subgroup of G.

**Proof.** If x and y are XC-elements of G, then both  $G/C_G(x^G) \in \mathfrak{X}$  and  $G/C_G(y^G) \in \mathfrak{X}$ , so

$$G/(C_G(x^G) \cap C_G(y^G)) \in \mathfrak{X}.$$

But

$$C_G(x^G) \cap C_G(y^G) \le C_G((xy^{-1})^G)$$

so  $G/C_G((xy^{-1})^G)$  is isomorphic to a subgroup of the direct product of  $G/C_G(x^G)$  and  $G/C_G(y^G)$  and then  $G/C_G((xy^{-1})^G) \in \mathfrak{X}$ . This means that  $xy^{-1}$ is an XC-element of G. Hence the XC-elements of G form a subgroup X(G). Of course, each automorphism of G sends XC-elements in XC-elements. Then XC(G) is characteristic subgroup of G. Lemma 1 allows us to define inductively the following series in a group G.

**Definition 2** (R.Baer, 1950, [[20], §4] - D.H.McLain, 1956, [[20], §4]). Let G be a group. The ascending characteristic series of G

$$1 = X_0 \triangleleft X_1 \triangleleft \ldots \triangleleft X_\alpha \triangleleft X_{\alpha+1} \triangleleft \ldots,$$
  
where  $X_1 = XC(G), \ X_{\alpha+1}/X_\alpha = XC(G/X_\alpha)$  and  
 $X_\lambda = \bigcup_{\alpha < \lambda} X_\alpha,$ 

with  $\alpha$  ordinal and  $\lambda$  limit ordinal, is called upper *XC*-central series of *G*.

- The first term XC(G) of the upper XC-central series of G is called

$$XC - center$$

of G and the  $\alpha$ -th term  $X_{\alpha}$  of the upper XCcentral series of G is called

$$XC$$
 – center of length  $\alpha$ 

of G.

- The last term  $\overline{XC}(G)$  of the upper XC-central series of G is called

$$XC - hypercenter$$

of G.

If  $G = X_{\beta}$ , for some ordinal  $\beta$ , we say that G is an *XC-hypercentral* group of type at most  $\beta$ . - The

$$XC - length$$

of an XC-hypercentral group is defined to be the least ordinal  $\beta$  such that  $G = X_{\beta}$ .

- If  $G = X_c$  for some positive integer c, we say that G is

XC - nilpotent of length c.

- It is easy to see that

$$Z(G) \le XC(G).$$

- Many authors call

generalized FC - groups

those groups having a nontrivial upper XC-central series.

**Remark 3.** A group G is an XC-group if all its elements are XC-elements, that is, if G has XC-length at most 1. Roughly speaking, the upper XC-central series of G measures the distance of G to be an XC-group.

## Remark 4.

- If \$\mathcal{X} = \$\vec{F}\$, we have the notion of FC-hypercentral group and classical results can be found in [[20], §4]. In this circumstance, we specialize the previous symbol X in the symbol F, for introducing XC-hypercentral series and related definitions.
- If X = PF, we have the notion of PC-hypercentral group and a complete description of such groups can be found in [4]. In this circumstance, we specialize the previous symbol X in the symbol P, for introducing PC-hypercentral series and related definitions.
- If  $\mathfrak{X} = \mathfrak{C}$ , we have the notion of *CC*-hypercentral group and a complete description of such groups can be found in [5]. In this circumstance, we specialize the previous symbol X in the symbol C, for introducing *CC*-hypercentral series and related definitions.

**Theorem 5**(McLain's Theorem [[20], Theorem 4.38], 1964). In a locally nilpotent group G it is true the following relation between the terms  $Z_{\alpha}$  of the upper central series of G and the terms  $F_{\alpha}$  of the upper FC-central series of G:

$$Z_{\alpha} \le F_{\alpha} \le Z_{\omega\alpha}$$

for each ordinal  $\alpha$ .

**Remark 6.** Our approach to generalized central series of a group has been introduced by D.H.McLain and S.Dixmier, obtaining classical results in Theory of Generalized *FC*-groups.

- See [[20], Theorem 4.37, Theorem 4.38] for McLain's Theorem.
- See [6],  $[[20], \S4]$  for *FC*-hypercentral groups.
- See [[2], Theorem A, Theorem B, Theorem C] for *PC*-hypercentral groups and *CC*-hypercentral groups.
- See [8] for XC-hypercentral groups.

**Remark 7.**On the other hand hypercentral groups, FC-hypercentral groups, PC- hypercentral groups and CC-hypercentral groups can be much different between themselves as it is shown either by the consideration of the infinite dihedral group or by means of examples in [2] and [8].

Example 8. The infinite dihedral group

$$G = \mathbb{D}_{\infty} = \langle a, x : a^x = a^{-1}, x^2 = 1 \rangle$$

has  $PC(G) = \mathbb{D}_{\infty}$ , CC(G) = FC(G) = Z(G) = 1. Then G is *PC*-nilpotent, but neither *FC*-hypercentral, nor *CC*-hypercentral, nor hypercentral. **Remark 9.** A *linear group* of degree n over a field K is a subgroup of the general linear group GL(n, K). Our results are devoted to linear PC-hypercentral groups and linear CC-hypercentral groups.

**Remark 10.** Classical literature on linear groups is given by

- [14], [18], [19], [22], [24];
- more recent is [17], where [[17], Chapter 5] gives a survey on chains conditions in linear groups;
- these chains conditions have been first introduced in Russian literature (see [14], [18], [22]).

**Remark 11.** We extend [[15], Theorem 2]. This result says that a linear group of degree n over an arbitrary field K is FC-hypercentral if and only if it has a normal nilpotent subgroup of finite index.

#### 2. Main results

**Theorem A** (F.Russo, 2007). Let G be a linear group of degree n over an arbitrary field K. Then the following conditions are equivalent

- (i) G is PC-nilpotent;
- (ii) G is PC-hypercentral;
- (iii) G contains a normal nilpotent subgroup N such that G/N is a polycyclic-by-finite group.

**Theorem B** (F.Russo, 2007). Let G be a linear group of degree n over an arbitrary field K.

If charK = 0 and G/Z(G) does not contain subgroups of infinite exponent, then the following conditions are equivalent

- (i) G is FC-nilpotent;
- (ii) G is FC-hypercentral;
- (iii) G contains a normal nilpotent subgroup N such that G/N is a finite group;
- (iv) G is CC-nilpotent;
- (v) G is CC-hypercentral.

#### 3. An auxiliary result

**Lemma 12.** Let G be a linear group. If G is a PCgroup, then G/Z(G) is a polycyclic-by-finite group.

**Proof.** We denote by [G] the envelope of the group G in the vector space of all matrices of degree n over the field K. The group G can be naturally regarded as a group of operators on the space [G] relative to similarity transformations by matrices. Let  $g_1, g_2, \ldots, g_r$  a maximal linearly independent system of matrices in the group G, where  $r \leq n$ . Obviously  $G \leq [G]$  and each element of the space [G] can be represented in the form  $k_1g_1 + k_2g_2 + \ldots + k_rg_r$ , where  $k_1, \ldots, k_r \in K$ . Then the centralizer [C] of an element of the space [G] and element of the group G in G. Since [G] admits a finite basis, G is a PC-group of finite rank (see [10, p.33] for the notion of finite rank). In particular

$$Z(G) = C_G(g_1^G) \cap C_G(g_2^G) \cap \ldots \cap C_G(g_r^G),$$

so that  $G/Z(G) = G/(C_G(g_1^G) \cap C_G(g_2^G) \cap \ldots \cap C_G(g_r^G))$ . For each  $i \in \{1, \ldots, r\}$ , the quotient  $G/C(g_i^G)$  is a polycyclic-by-finite group. G/Z(G) is isomorphic to a subgroup of a direct product of finitely many polycyclic-by-finite groups and so it is a polycyclicby-finite group. **Remark 13.** The proof of Lemma 12 shows that we may exclude from our investigation all the groups which are direct product of infinitely many distinct polycyclic-by-finite groups without center.

Let D be the infinite dihedral group and consider the direct product  $G = Dr_{i\geq 0}D_i$  of countably many distinct copies of D.

We note that G is a group with Z(G) = 1, G is not linear, is a *PC*-group, G/Z(G) = G is not a polycyclic-by-finite group.

Lemma 12 is not true for G.

#### 4. The argument of M.Murach

*Proof of Theorem A.* The condition (i) implies obviously the condition (ii).

Assume that (ii) holds. We want to prove the condition (iii).

Let  $G \leq GL(n, K)$  and

$$1 = P_0 \triangleleft P(G) = P_1 \triangleleft P_2 \ldots \triangleleft P_\beta = \overline{PC}(G) = G$$

be an upper PC-central series of the group , where  $\beta$  is an ordinal.

We denote by [G] the envelope of the group G in the vector space of all matrices of degree n over the field K. Similarly by [P(G)] we denote the linear envelope of all matrices in the group  $P_1 = PC(G)$ .

We construct a basis of the space [G] as follows. Let  $p_1, p_2, \ldots, p_{r_1}$  be a basis of the space  $[P_1]$ , where  $r_1$  is a positive integer which denotes the dimension of  $[P_1]$  as K-vectorial space.

We amplify it to a basis of the space [G] by adding some matrices of the group G. We obtain a basis  $p_1, p_2 \ldots, p_{r_1}, p_{r_1+1}, p_{r_1+2}, \ldots, p_r$  of the space [G], where  $r \leq n$ .

The group G is in a natural way a group of operators on the space [G] and its subspace  $[P_1]$  relative to similarity transformations by matrices. By definition of upper PC-central series, every element of the subgroup  $P_1$  is a PC-elements and therefore every element of the subspace  $[P_1]$  also is also a PC-element.

It follows that the centralizer in [G] of an element  $p_i$  of a basis of  $[P_1]$  coincides with  $C_G(p_i^G)$  for each  $i \leq r_1$ . Then the centralizer

$$C_1 = C_G(p_1^G) \cap C_G(p_2^G) \cap \ldots \cap C_G(p_{r_1}^G)$$

has  $G/(C_G(p_1^G) \cap C_G(p_2^G) \cap \ldots \cap C_G(p_{r_1}^G))$  which is a polycyclic-by-finite group. Clearly  $C_1$  is normal in G so that the subspace  $[P_1]$  is G-invariant as group of operators.

The group G induces on the space [G] of dimension r a matrix group which also has an upper PC-central series.

Matrices of this induced group have degree  $r \leq n^2$ , where  $n^2$  is the dimension of the space of all matrices of degree n over the field K.

The centralizer  $C_1$  of the subspace  $[P_1]$  in the group of operators G induces as a group of operators on the subspace  $[P_1]$  a unitary group of matrices of degree  $r_1$  and on the factor space  $[G]/[P_1]$  a matrix group, having an upper *PC*-central series, whose matrices are of degree  $n_1 = r - r_1$ .

We denote this group with  $G_1$ . For  $G_1 \leq GL(n_1, K)$ 

we may repeat all the above considerations.

Hence the finiteness of the decreasing sequence of natural numbers  $n^2 > n_1^2 > \ldots$  implies the finiteness of an upper *PC*-central series of the group *G*.

Consequently every matrix group having such series is *PC*-nilpotent of class  $c \leq n^2$ .

The preceding argument allows us to suppose that the group G can be assumed to be PC-nilpotent of class c without loss of generality.

Therefore there exists a series in G

 $1 = P_0 \triangleleft P(G) = P_1 \triangleleft P_2 \ldots \triangleleft P_c = G$ 

with obvious meaning of symbols.

We proceed by induction on c. If c = 1, then G is a linear *PC*-group and the result follows by Lemma 10.

Let c > 1 and suppose the result is true for each linear *PC*-nilpotent group of class at most c - 1.

It was shown above that the centralizer  $C_1$  has the factor group  $G/C_1$  which is a polycyclic-by-finite group, moreover  $C_1$  is *PC*-nilpotent as subgroup of the *PC*-nilpotent group G.

Then we may suppose  $C_1$  of *PC*-nilpotence class ei-

ther c-1 or c.

If  $C_1$  has *PC*-nilpotence class c-1, then by induction hypothesis the result follows.

Assume that  $C_1$  has *PC*-nilpotence class *c*.

If C denotes the centralizer of all elements of a basis of the space  $[C_1]$  in its group of operators  $C_1$ , then the group  $C_1$  induces on the space  $[C_1]$  a PC-nilpotent matrix group, isomorphic to  $C_1/C$  and of PC-nilpotent class c - 1.

Indeed  $C_1 \leq [C_1]$  implies that C is the center of the group  $C_1$ , that is,  $C = Z(C_1)$ .

Since  $C_1$  coincides with the centralizer of the subgroup  $P_1$  in the group G,

$$C \ge Z(P_1) = C_1 \cap P_1$$

and the quotient  $P_1/Z(P_1)$  is a polycyclic-by-finite group.

Now the group  $P_2/Z(P_1)$ , being an extension of the polycyclic-by-finite group  $P_1/Z(P_1)$  by the *PC*-group

 $(P_1/Z(P_1))/(P_2/Z(P_1)) \simeq P_2/P_1,$ 

is again a *PC*-group.

Moreover  $P_2/Z(P_1)$  is a *PC*-subgroup of the group  $G/Z(P_1)$ , because each element of  $P_2/Z(P_1)$  is a *PC*-element of  $G/Z(P_1)$ .

It follows that  $P_2/Z(P_1)$ , and therefore its subgroup  $C_1/Z(P_1)$ , is *PC*-nilpotent of class c-1.

The induction hypothesis implies that the result is true for  $C/C_1$ .

Since  $C = Z(C_1)$ ,  $C_1$  has a normal nilpotent subgroup L such that  $C_1/L$  is a polycyclic-by-finite group.

Then G has the subgroup  $C_1$  such that,  $C_1$  has a normal nilpotent subgroup L such that  $C_1/L$  is a polycyclic-by-finite group, the quotient  $G/C_1$  is a polycyclic-by-finite group.

The fact that the class of polycyclic-by-finite groups is closed with respect the extension of two of its members allows us to conclude that G has a normal nilpotent subgroup M such that G/M is a polycyclic-byfinite group.

Then the statement (iii) is proved.

Now assume that (iii) holds. We will prove the condition (i).

Let N be a normal nilpotent subgroup of G with class of nilpotence c such that the quotient G/N is a polycyclic-by-finite group.

From the definitions and the fact that N is nilpotent,

we have

$$N = Z_c(N) \le F_c = F_c(N) \le P_c = P_c(N).$$

This can be found also in [5].

Of course, the class of PC-nilpotent groups is closed with respect to extensions by polycyclic-by-finite groups.

This situation happens for G, which is an extension of  $P_c(N)$  by a group H isomorphic to G/N. Then G is PC-nilpotent of class at most c + 1.

#### 5. Examples

**Example 14.** Let  $\mathbb{Q}$  be the additive group of the rational numbers and Q be a finitely generated infinite subgroup of  $U(\mathbb{Q})$ , the group of units of  $\mathbb{Q}$ . Then Q generates a subring  $\mathbb{Q}_{\pi}$  for some finite nonempty set of primes  $\pi$ ; here  $\pi$  is the set of primes dividing numerators or denominators of elements of Q. Under these circumstances we shall say that Q is a  $\pi$ -generating subgroup of  $U(\mathbb{Q})$ .

We write  $A = \mathbb{Q}_{\pi}$  and

$$Q = < x_0 > \times < x_1 > \times \ldots \times < x_n >,$$

where n is a positive integer,  $x_0 \in \{-1, 1\}$  and  $x_1, \ldots, x_n$ generate the free abelian group

$$\langle x_1 \rangle \times \ldots \times \langle x_n \rangle$$
.

If  $-1 \notin Q$ , then  $Q = \langle x_1 \rangle \times \ldots \times \langle x_n \rangle$  and n = r is the Prüfer rank of Q. G is generated by Atogether with elements  $y_1, \ldots y_r$ , where  $y_i$  is a preimage of  $x_i$  under the epimorphism  $G \to Q$ , for all  $i \in \{1, \ldots, r\}$ . Here  $y_i$  acts on Q via multiplication by  $x_i$ . Also  $[y_i, y_j] = c_{ij} \in A$ , where  $c_{ij}$  satisfy the system of linear equations over  $\mathbb{Q}$ :

 $\forall i, j, k \in \{1, \dots, r\}$  $c_{ij} = -c_{ji}, \quad c_{jk}(x_i - 1) + c_{ki}(x_j - 1) + c_{ij}(x_k - 1) = 0.$ The second equation is the famous Hall-Witt identity. This construction is in [[21], p.205-206]. G has a unique minimal normal subgroup A and we note that G is a split extension of A by Q. For each  $g \in G$ ,  $C_G(g^G) \ge A$  so that  $G/C_G(g^G)$  is torsion-free polycyclic and G is a PC-group. We conclude that G = PC(G). On the other hand  $G/C_G(g^G)$  is not finite, Z(G) = 1 and CC(G) = FC(G) = 1. We conclude that G is neither a CC-group nor nilpotent nor an FC-group.

It is not hard to see that G can be embedded into  $GL(2,\mathbb{Q})$ .

## 6. Finitary groups

- Let V be a vector space over the field K and let g be a K-automorphism of V. In the affine general linear group  $GL_K(V) \ltimes V$  on V, we can consider commutators, centralizers and normalizers as in any group. We shall adopt these notation in the following. Thus we write [V, g] for V(g - 1) and  $C_V(g)$  for the fixed-point stabilizer of g in V.
- A group G is said to be *finitary skew linear*, if it is a subgroup of

$$FGL_K(V) = \{g \in GL_K(V) | \dim_K[V, g] < \infty\}.$$

- Clearly, finitary skew linear groups are a generalization of the well-known linear groups. When  $n = \dim_K V$  is a positive integer, we have

$$FGL_K(V) \simeq GL(n, K)$$

so that we find the well-known linear groups.

- A finitary skew linear group G is called *unipotent* if for every  $g \in G$ , the endomorphism g - 1 is nilpotent.
- A subgroup G of  $FGL_K(V)$  is said to be a *stability* group, if it stabilizes a series (of arbitrary ordertype) in V. Such groups are locally nilpotent and unipotent (see [[25], 2.1b] and [[17], Theorem 1.2.6]).
- The references [9], [10], [12], [13], [16], [17], [25] describe those finitary skew linear groups which possess a chain of subgroups.

Let G be a stability subgroup of  $FGL_K(V)$ . For all  $v \in V$  and  $g, h \in G$ , we have

$$[v, g, h] = [v, h, g] + [vhg, [g, h]].$$
(1)

For every  $g \in G$ , let  $d(g) = \dim_K[V, g]$  be the *degree* of g. For each positive integer i and j such that  $i \geq j$ , we recursively define

$$G_{i,0} = 1, G_{i,j} = G_{i,j-1} \cdot \langle g \in Z_j(G) | d(g) \le 2^{i-j} \rangle.$$
 (2)

Every subgroup  $G_{i,j}$  is normal in G, and the  $G_{i,i}$  form an ascending chain with union  $Z_{\omega}(G)$ .

Moreover, if  $g \in Z_j(G)$  with  $d(g) \leq 2^{i-j}$ , and if  $h \in G$ , then  $[g,h] \in Z_{j-1}(G)$  with

$$d([g,h]) \le 2 \cdot d(g) \le 2^{i-(j-1)}$$

by [[16], Lemma 1, (iv)]. This shows that

$$[G_{i,j},G] \le G_{i,j-1} \tag{3}$$

for each positive integer  $j \leq i$ .

Lemma 15 (O.Puglisi, F.Leinen, U.Meierfrankenfeld in [9], [12], [13]). Let G be a finitary skew linear group and i be a positive integer.

- (i) If G is a stability group, then it stabilizes a finite chain in [V, G<sub>i,i</sub>].
- (ii) If G is a stability group, then it stabilizes an ascending chain of length at most  $\omega$  in

$$\bigcup_{i\geq 1} [V, G_{i,i}] = [V, Z_{\omega}(G)].$$

- (iii) If G is a stability group, then it stabilizes a finite chain in  $V/C_V(G_{i,i})$ .
- (iv) If G is a stability group, then it stabilizes an ascending chain of length at most  $\omega$  in

$$V/\bigcap_{i\geq 1} C_V(G_{i,i}) = V/C_V(Z_{\omega}(G)).$$

**Proof.** This follows from [[9], Lemma 2.1, Lemma 2.2].  $\blacksquare$ 

**Lemma 16**(F.Russo, 2007). Let G be a unipotent finitary skew linear group.

- (i) If G is a periodic FC-group, then G has normal nilpotent subgroup N such that G/N is a residually finite group.
- (ii) If G is a PC-group, then G has a normal nilpotent subgroup N such that G/N is a residually polycyclic-by-finite group.
- (iii) If G is a periodic CC-group, then G has a normal nilpotent subgroup N such that G/N is a residually Chernikov group.

**Proof.** (Sketch of the proof) We modify the argument in Lemma 12, using Lemma 15. ■

## 7. A partial result

**Proposition 17.** (F.Russo, 2007) Let G be a unipotent finitary skew linear group.

- (i) If G is a periodic FC-hypercentral group, then G has a normal nilpotent subgroup N such that G/N is a residually finite group.
- (ii) If G is a PC-hypercentral group, then G has a normal nilpotent subgroup N such that G/N is a residually polycyclic-by-finite group.
- (iii) If G is a periodic CC-hypercentral group, then G has a normal nilpotent subgroup N such that G/N is a residually Chernikov group.

**Proof.** (Sketch of the proof) We variety the argument of M.Murach. ■

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